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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/784,227	02/13/2001	Scott Craig Koss	8371-123	2514

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EXAMINER

SORRELL, ERON J

ART UNIT	PAPER NUMBER
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2182

DATE MAILED: 12/29/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/784,227

Applicant(s)

KOSS, SCOTT CRAIG

Examiner

Eron J. Sorrell

Art Unit

2182

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 December 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 February 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1,2,5,8,9,10,12,13, and 15-17 are rejected under 35 U.S.C. 102(e) as being anticipated by Lahey et al. (U.S. Patent No. 6,587,217 hereinafter Lahey).

3. Referring to method claim 1 and computer-readable medium claim 9, and method claim 20, Lahey teaches a method for network document processing, the method comprising:

a) locating a connection to a document processing device and activating a first interface between a predetermined document processing device and a workstation whereby the workstation can access a plurality of operational settings of the predetermined document processing device (see lines 15-31 of column 7 and lines 35-47 of column 8, wherein Lahey teaches

Art Unit: 2182

selecting a specific printer and designating printing attributes);

b) providing a user interface at the workstation, whereby a user can through the user interface and the first interface select the operational setting for the document processing device (see lines 15-31 of column 7);

c) generating a document data file at a particular location (see paragraph bridging columns 2 and 3, wherein Lahey discloses files being stored in a storage device then replaced by stub files to save room in a particular storage device); and

d) communicating the particular location of the document data file to the predetermined document processing device, thereby activating a document processing job to be executed in accordance with any operational settings selected by the user (see lines 22-27 of column 6).

4. Referring to claim 2, Lahey teaches activating an interface further comprises launching a document processing client in response to a document processing request by the user (see lines 15-31 of column 7).

Art Unit: 2182

5. Referring to claim 5, Lahey teaches generating a document data file further comprises storing the document data file on a job file store (see lines 30-37 of column 12).

6. Referring to method claim 8 and system claim 17, Lahey teaches the document processing device is one of the group comprising: a printer, a fax machine, a multi-function peripheral, an electronic document management system, a plotter, a network fax machine, a language translation server and a knowledge management system (see item labeled 31 in figure 1 and paragraph bridging columns 4 and 5).

7. Referring to claim 10, Lahey discloses an software application for print processing (see lines 15-31 of column 7). This program is fully capable of being downloaded.

8. Referring to claim 12, Lahey teaches a network document processing system, comprising:

a) a generic document processing client installed on at least one workstation connected to a network, wherein the document processing client is operable to interact with several different types of document processing devices (see lines 15-31 of column 7);

Art Unit: 2182

b) a job file store, operable to receive document data files generated by the document processing client (see lines 31-37 of column 12); and

c) at least one document-processing device, operable to receive a location of the document data files and auxiliary information associated with each document data file from the document-processing client (see lines 7-18 of column 5).

9. Referring to claim 13, Lahey teaches the document-processing client is one of the group comprised of: a printer client, a fax client, an electronic document management system client, a language translation client, and a knowledge system management client (see lines 15-31 of column 7).

10. Referring to claims 15 and 16, Lahey discloses the job file is located on another device in the network and the job file store is a dedicated device (see lines 31-37 of column 12).

11. Referring to claims 18 and 19, Lahey discloses generating the document comprises generating a document data file based on the operational settings (see lines 14-17 of column 9).

Art Unit: 2182

Claim Rejections - 35 USC § 103

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims 3,4, and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lahey in view of Yokoyama (U.S. Patent No. 6,166,826).

14. Referring to claims 3 and 4, Lahey fails to teach activating an interface further comprises launching and retrieving web pages to display capabilities of the document processing device.

Yokoyama teaches, in an analogous system, activating an interface further comprises launching and retrieving web pages to display capabilities of the predetermined document processing device, wherein the user interface is a web page (see lines 29-37 of column 9).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the

Art Unit: 2182

system and method of Lahey with the above teachings of Yokoyama. One of ordinary skill in the art at the time of the applicant's invention would have been motivated to make such modification in order to be able to access the desired information with any computer with access to the Internet.

15. Referring to claim 7, Lahey teaches the auxiliary information includes the operational settings specified by the user (see lines 25-27 of column 6), however Lahey fails to explicitly set forth the limitation that the auxiliary information includes the size of the file.

Yokoyama teaches, in an analogous system, the auxiliary information including the size of the file (see lines 20-25 of column 3).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the system and method of Lahey with the above teachings of Yokoyama. One of ordinary skill in the art would have been motivated to make such modification in order to be able to select files to be printed based on the files attribute information as suggested by Yokoyama (see lines 26-37 of column 3).

Art Unit: 2182

16. Claims 6,11, and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lahey in view of Shima (U.S. Patent No. 6,552,816).

17. Referring to claim 6, Lahey fails to teach communicating a location further comprises sending a Uniform Resource Locator to the predetermined document-processing device.

Shima teaches, in an analogous system, communicating a location further comprises sending a Uniform Resource Locator to the predetermined document-processing device (see lines 19-40 of column 5).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the system and method of Lahey with the above teachings of Shima. One of ordinary skill in the art would have been motivated to make such modification in order for the printer to be able to print documents from any computer with access to the internet.

18. Referring to claim 11, Lahey fails to teach the computer-readable medium is a generic printer driver installed on each workstation of a network.

Art Unit: 2182

Shima teaches the computer-readable medium is a generic printer driver installed on each workstation of a network (see lines 37-59 of column 2).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the computer-readable medium of Lahey with the above teachings of Shima in order for to allow for each computer on the network to print to the network printer.

19. Referring to claim 14, Lahey fails to teach the job file store is located on the workstation upon which is installed the document processing client.

Shima teaches the job file store is located on the workstation upon which is installed the document processing client (see lines 37-59 of column 2).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the system and method of Lahey with the above teachings of Shima. One of ordinary skill in the art at the time of the applicant's invention would have been motivated to make such modification in order for documents on the workstations hard disk can be printed.

Response to Arguments

20. Applicant's arguments filed 10/12/05 have been fully considered but they are not persuasive. The applicant argues:

1) Lahey teaches the actual data file is transmitted along with the information that indicates how this file should be processed (emphasis added), because, "the quoted portion from Lahey states that the computer transmits the job ticket along with the items identified in the item records (emphasis in original, see first paragraph of page 10 of applicant's remarks)."

2) Lahey fails to teach a first interface is opened between the workstation and the operational settings of the document processing device (see first paragraph of page 10 of applicant's remarks).

3) The Yokoyama reference describes a system whereby a user can check the status of files of documents to be printed that are "stored in the printing apparatus," (emphasis in original, see last paragraph of page 11 of applicant's remarks).

21. **As per argument 1, the Examiner disagrees.** Lahey does teach that the job ticket along with the items identified in the

Art Unit: 2182

item records are transmitted by the computer, however the item identified by the item records are not necessarily the data files themselves. At lines 12-20 of column 6, Lahey teaches "Included in the item records 52,54 is *information on the location of the item, e.g., the location of the print image file within the network printing system 2 and the location of the source file from which the print image file item was generated* (emphasis added). Thus accordingly, it is not the data file itself that is transmitted along with the job ticket that is transmitted, but the location of said data file.

22. As per argument 2, the Examiner disagrees. Lahey teaches at lines 35-47 of column 8, "If the user selects a specific printer 28,30,31, or 32, then the InfoPrint Submit software modifies the range of print attributes... to include only those attributes values supported by the selected printer." This manipulation of attributes based on the selected printer is the same as the applicant's opening an interface between a workstation and the operational settings of the document processing device.

23. As per argument 3, the Examiner disagrees. Yokoyama was cited as teaching the limitations of claims 3,4, and 7. There

Art Unit: 2182

is no limitation in any of those claims requiring the checking of a status and the rejection of those claims doesn't rely on a teaching from Yokoyama of checking a status.

Conclusion

24. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

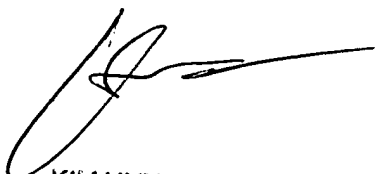
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eron J. Sorrell whose telephone number is 571 272-4160. The examiner can normally be reached on Monday-Friday 8:00AM - 4:30PM.

Art Unit: 2182

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Huynh can be reached on 571-272-4147. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

EJS
December 20, 2005


KIM HUYNH,
PRIMARY EXAMINER

12/22/05